

PTO/SB/21 (08-03)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number

10/736,935

Filing Date

12/16/03

First Named Inventor

Bock

Art Unit

Examiner Name

Not yet assigned

Attorney Docket Number

4-43-7

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

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Affidavits/declaration(s)

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Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Response to Missing Parts/
Incomplete Application☐Response to Missing Parts
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a
Provisional Application☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

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Request for Refund

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CD, Number of CD(s) _____

☐After Allowance communication
to Group☐Appeal Communication to Board
of Appeals and Interferences☐Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please
Identify below):1. Return receipt
postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

Wendy W. Koba

Signature

Wendy W. Koba

Date

3/15/04

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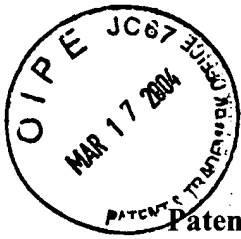
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Date

3/15/04

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Patent Application

Inventors: Timothy Paul Bock
John W. Osenbach
Rory Keene Schlenker

Case: 4-43-7

Serial No. 10/736,935

Filing Date: December 16, 2003

Examiner: Not yet assigned

Title: Active Optical Alignment Using MEMS Mirrors

COMMISSIONER OF PATENTS
PO Box 1450
Alexandria, VA 22313-1450

SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement is submitted for consideration in the above-identified application.

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Respectfully,

By: Wendy W. Koba
Wendy W. Koba, Esq.

Reg. No. 30509

Attorney for Application

Date: 3/15/04

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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Complete if Known

Application Number

10/736,935

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| Filing Date | |
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121603

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| First Named Inventor | |
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Bock

Art Unit

1999

| |
|---------------|
| Examiner Name |
|---------------|

Attorney Docket Number

4-43-7

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

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| Examiner Signature | Date Considered |
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